

# **Exhibit 43**

Mon Mar 14 2022 09:56:05 GMT-0400 (Eastern Daylight Time)

# West Point Derivatives Ltd

Reference number: 430967

This firm is in administration. It has stopped taking on new business but has to continue to meet our standards in dealing with its customers. **If you were a customer check with the firm how this affects you.**

In Administration

Firms we regulate may also carry out activities that are not regulated by either the FCA or the Prudential Regulation Authority (PRA). Complaints or claims about these unregulated activities may not be covered by the Financial Ombudsman Service (FOS) or the Financial Services Compensation Scheme (FSCS). If you are unsure whether an activity undertaken by a firm is regulated by us or the PRA, then you should ask the firm to confirm in writing what protections will be available to you if you need to make a complaint or claim compensation.

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## Who is this firm?

### Firm details

Check details about this firm's place of business, contact details, etc.



Firms are required to confirm at least annually that these details are correct.

**The firm has not confirmed its firm details in the last 12 months. We are addressing this with the firm.**

### Address

12th Floor, The Broadgate Tower  
20 Primrose Street  
London  
EC2A 2EW  
UNITED KINGDOM

### Phone

4402073824940

### Email

compliance@solo.com

### Firm reference number

430967

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## Registered company number

4990389

## Firm status



Check this firm's status and any additional regulatory information.

### Status

Authorised - in administration

Since 27/07/2005

This firm is in administration. It has stopped taking on new business but has to continue to meet our standards in dealing with its customers. **If you were a customer check with the firm how this affects you.**

### Sub status

In Administration

Since 27/09/2016

### Type

Regulated

## Trading names



This firm currently trades under 1 trading names.

## Current names

Showing 1 result out of 1

| Name                              | Status            | Effective from     |
|-----------------------------------|-------------------|--------------------|
| <b>West Point Derivatives Ltd</b> | <b>Registered</b> | <b>12 Apr 2005</b> |

## How are customers protected?

### Protections and support



Understand the protections you have when dealing with this firm, and how to make a complaint.

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#### **Customer protections and the Register**

Regulated activities performed by regulated firms or individuals are covered by a range of protections. Some of these protections are not through the FCA but are primarily through the Financial Ombudsman Service and the Financial Services Compensation Scheme.

The protections available relate to specific regulated activities. We recommend that you check below for the activities that this firm has FCA and/or PRA permission to provide before going ahead. You should also contact the firm and ask them to confirm the specific protections available to you.

### **The Financial Ombudsman Service may be able to consider a complaint about this firm**

Complaints about regulated activities that this firm has permissions for may be covered by the Financial Ombudsman Service. If you have complained to the firm and they haven't responded or you aren't satisfied with the response, you can contact the [Financial Ombudsman Service](https://www.financial-ombudsman.org.uk/) (<https://www.financial-ombudsman.org.uk/>) for help.

### **The Financial Services Compensation Scheme may be able to consider a claim against this firm if it fails**

Claims made in connection with regulated activities that this firm has permissions for may be covered by the Financial Services Compensation Scheme. If this firm has failed, you should contact the [Financial Services Compensation Scheme](https://www.fscs.org.uk/) (<https://www.fscs.org.uk/>) to find out if it can help.

### **If you have a complaint about a firm**

If you are concerned you've been scammed: consumers in England, Wales or Northern Ireland should immediately contact [FCA Contact Centre](https://fca.org.uk/contact) (<https://fca.org.uk/contact>) and [Action Fraud](https://www.actionfraud.police.uk/) (<https://www.actionfraud.police.uk/>); consumers in Scotland should immediately contact [FCA Contact Centre](https://fca.org.uk/contact) (<https://fca.org.uk/contact>) and [Police Scotland](https://www.scotland.police.uk/). (<https://www.scotland.police.uk/>).

### **Complain to the firm**

12th Floor, The Broadgate Tower

20 Primrose Street

London

EC2A 2EW

UNITED KINGDOM

4402073824940

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compliance@solo.com

### **Report to the FCA**

If you have concerns about a firm listed on the Register, [contact the FCA directly.](#)  
(<https://www.fca.org.uk/contact>)

If you suspect you have been contacted by an unauthorised firm or individual carrying out an FCA-regulated activity, report it to us using this form. (<https://www.fca.org.uk/report-scam-unauthorised-firm-individual>)

## **Help using the Register**

If you have any concerns or difficulties using the Register you can contact the FCA and we will talk you through the record and answer any queries you may have.

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## **What can this firm do in the UK?**

### **Restrictions**

Check the requirements placed on this firm. Requirements are restrictions governing the regulated activities that this firm can do.

### **Client Money**

This firm must protect the money it holds and/or controls on behalf of customers. It cannot lend this money or use it to finance its own business.

Specific requirements may change this firm's ability to hold and/or control client money – see below for details.

### **Activities and services**

Learn about the regulated activities that this firm has FCA and/or PRA permission to provide and other information related to specific non-regulated activities and services that may impact your business with a firm.

#### **What to do with this information**

These regulated activities are grouped into categories. You should check the specific activities within these categories relate to the business you want to do with the firm, not just the categories.

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Once you have checked this page, you should contact the firm via their main contact details and ask them to confirm their permitted regulated activities.

If you don't understand whether the permissions cover the business you want to do, you should [contact the FCA](https://www.fca.org.uk/contact).  
(<https://www.fca.org.uk/contact>)

## Investments



### Arranging safeguarding and administration of assets



#### LIMITATIONS

## Customer Type

Eligible Counterparty

Professional

## Investment Type

Certificates representing certain security

Commodity Future

Commodity option and option on commodity future

Future (excluding a commodity future and a rolling spot forex contract)

Government and public security

Option (excluding a commodity option and an option on a commodity future)

Rights to or interests in investments (Contractually Based Investments)

Rights to or interests in investments (Security)

Share

Warrant

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## Limitation

Rights to or interests in (both).

Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

## Safeguarding and administration of assets (without arranging)



### LIMITATIONS

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## Customer Type

Eligible Counterparty

Professional

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## Investment Type

Certificates representing certain security

Commodity Future

Commodity option and option on commodity future

Future (excluding a commodity future and a rolling spot forex contract)

Government and public security

Option (excluding a commodity option and an option on a commodity future)

Rights to or interests in investments (Contractually Based Investments)

Rights to or interests in investments (Security)

Share

Warrant

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## Limitation

Rights to or interests in (both).

Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

## ■ Other activities



### Agreeing to carry on a regulated activity



#### LIMITATIONS

##### Limitation

Limited to carry on regulated activity.

The firm can only agree to carry on the regulated activities specified in this Notice.

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## Who is involved with activities at this firm?

### Individuals



Individuals currently and previously involved in regulated activities at this firm.

You should check the details of any individual that you want to carry out regulated activities on your behalf, especially their current roles and any disciplinary or regulatory action on their record.

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### Previous

Showing 10 results out of 62

| Name   | Individual reference number | Role                 |
|--|-----------------------------|----------------------|
| <a href="#"><u>Stephanie Alexandra Kulas</u></a>   | <b>SAK01045</b>             | <b>CF30 Customer</b> |
| <a href="#"><u>Linda Amrouche</u></a>              | <b>LXA01171</b>             | <b>CF30 Customer</b> |
| <a href="#"><u>Antoine Felix Julien Duigou</u></a> | <b>AFD01039</b>             | <b>CF30 Customer</b> |
| <a href="#"><u>Jason Browne</u></a>                | <b>JPB01269</b>             | <b>CF30 Customer</b> |



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| Name                                    | Individual reference number | Role   |
|---|-----------------------------|--|
| <b><u>Daniel Redszus</u></b>            | <b>DXR01207</b>             | <b>CF30 Customer</b>                                 |
| <b><u>Ghaleb Bassem El-Hajj Ali</u></b> | <b>GBE01006</b>             | <b>CF30 Customer</b>                                 |
| <b><u>Carlos Alexander Delgado</u></b>  | <b>CAD01086</b>             | <b>CF26 Customer Trading</b><br><b>CF30 Customer</b> |
| <b><u>Ali Sami Hallak</u></b>           | <b>ASH01113</b>             | <b>CF30 Customer</b>                                 |
| <b><u>Owain Rhys David</u></b>          | <b>ORD01002</b>             | <b>CF30 Customer</b>                                 |
| <b><u>Chafik Yassini</u></b>            | <b>CXY01069</b>             | <b>CF30 Customer</b>                                 |

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## Who is this firm connected to?

### Regulators



Current and former regulators of this firm.

This table shows the former and current regulators of this firm, in the UK and in other European Economic Area (EEA) countries.

Showing 2 results out of 2

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| Regulator                           | Effective from     | Effective to       |
|-------------------------------------|--------------------|--------------------|
| <b>Financial Conduct Authority</b>  | <b>01 Apr 2013</b> |                    |
| <b>Financial Services Authority</b> | <b>28 Jul 2005</b> | <b>31 Mar 2013</b> |

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